

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**APPLICANT(S): Kaufman et al.

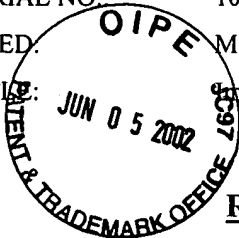
SERIAL NO.: 10/099,881

Group Art Unit: 3737

FILED: March 15, 2002

EXAMINER: Not Yet Assigned

TITLE: Image Processing Using Measures of Similarity

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the Patent Office for the above-identified application for which issuance of a corrected filing receipt is respectfully requested. The requested change is noted on the copy of the filing receipt.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered*and/or*☐ omitted***Error In******Correct Data***

- |  |                          |
|--|--------------------------|
| 1. <input type="checkbox"/> Applicant's name               | 1.                       |
| 2. <input checked="" type="checkbox"/> Applicant's address | 2. Chexbres, SWITZERLAND |
| 3. <input type="checkbox"/> Title                          | 3.                       |
| 4. <input type="checkbox"/> Filing Date                    | 4.                       |
| 5. <input type="checkbox"/> Serial Number                  | 5.                       |
| 6. <input type="checkbox"/> Foreign/PCT Application Re:    | 6.                       |
| 7. <input type="checkbox"/> Other                          | 7.                       |

Date: 6/5/02  
Reg. No. 36,989Tel. No.: (617) 248-7013  
Fax No.: (617) 248-7100VER 12/00  
2412356\_1

Respectfully submitted,

Thomas C. Meyers  
Atty/Agent for Applicant(s)  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

"EXPRESS MAIL" Mailing Label Number

EL 9344699705

COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/099,881	03/15/2002	3737	0.00	MDS-030	25	39	4

RECEIVED

CONFIRMATION NO. 5399

021323

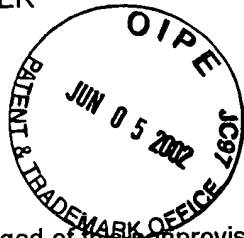
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

APR 11 2002

FILING RECEIPT



\*OC000000007811327\*



PATENT DEPARTMENT

TESTA, HURWITZ &amp; THIBEAULT, LLP

Date Mailed: 04/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Howard Kaufman, Newton, MA;  
Philippe Schmid, Lausanne, SWITZERLAND;  
*Chexbres, SWITZERLAND*

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/353,978 01/31/2002

## Foreign Applications

If Required, Foreign Filing License Granted 04/05/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

~~No Docketing Necessary~~

KAS  
Administrator

4-12-02  
Date

## Title

Image processing using measures of similarity

Reviewed &amp; Approved

MPH  
Pat. Atty

4-20-02  
Date

## Preliminary Class

600



06-07-02

Receipt \$

PATENT  
Attorney Docket No. MPS-030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kaufman et al.  
SERIAL NO.: 10/099,881 GROUP NO.: 3737  
FILING DATE: March 15, 2002 EXAMINER: Not Yet Assigned  
TITLE: IMAGE PROCESSING USING MEASURES OF SIMILARITY

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

BOX Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed April 8, 2002, enclosed is an executed Declaration/Power of Attorney for filing in the application referenced above. Also enclosed is a Request for Corrected Filing Receipt, a copy of the Filing Receipt with correction noted thereon, a Transmittal Form, a Return Receipt Postcard, an Executed Small Entity Statement, a Fee Transmittal Form, and checks in the amount of \$648.00 and \$25.00 to cover required fees as listed on the Fee Transmittal Form, including the surcharge for the late filing fee or oath (\$65) and the fee for filing a Request for Corrected Filing Receipt (\$25).

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas C. Meyers".

Date: 6/5/02  
Reg. No.: 36,989

Tel. No.: (617) 248-7013  
Fax: (617) 248-7100

Thomas C. Meyers  
Attorney for Applicant  
Testa, Hurwitz, & Thibault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

"EXPRESS MAIL" Mailing Label Number

EL 934469947 US

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#3



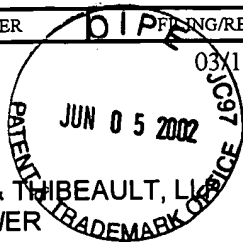
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,881	03/15/2002	Howard Kaufman	MDS-030

021323

TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110



RECEIVED

APR 11 2002

PATENT DEPARTMENT  
TESTA, HURWITZ & THIBEAULT, LLP

CONFIRMATION NO. 5399

FORMALITIES LETTER



\*OC000000007811328\*

Date Mailed: 04/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$426.
  - \$342 for 19 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1296.

06/12/2002 NW0HAMM1 00000064 10099881

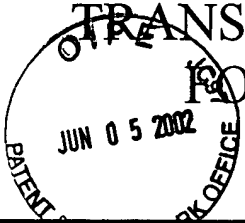
01 FC:201	370.00 OP
02 FC:203	171.00 OP
03 FC:202	42.00 OP
04 FC:205	65.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

<b>TRANSMITTAL FORM</b> 	Application Serial Number	10/099,881
	Filing Date	March 15, 2002
	First Named Inventor	Kaufman
	Group Art Unit	3737
	Examiner Name	Not Yet Assigned
	Attorney Docket No.	MDS-030
	Patent No.	Not applicable
	Issue Date	Not applicable

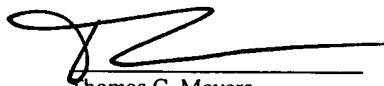
## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) Request for Corrected Filing Receipt Copy of Filing Receipt with correction noted thereon
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
 Date: 6/5/02  
 Reg. No. 36,989  
 Tel. No.: (617) 248-7013  
 Fax No.: (617) 248-7100  
 Thomas C. Meyers  
 Atty/Agent for Applicant(s)  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110


**FEE TRANSMITTAL**  
**FY 2002**

JUN 05 2002

Complete if Known

Application Serial Number	10/099,881
Filing Date	March 15, 2002
First Named Inventor	Kaufman
Group Art Unit	3737
Examiner Name	Not yet assigned
Attorney Docket No.	MDS-030

**METHOD OF PAYMENT**

- 1.
- ☒
- Payment Enclosed:
- 
- ☒
- Check
- ☐
- Money Order
- ☐
- Other

- 2.
- ☒
- The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
- 
- ☐
- Required Fees (copy of this sheet enclosed).
- 
- ☒
- Additional fee required under 37 CFR 1.16 and 1.17.
- 
- ☒
- Overpayment Credit.
- 
- 3.
- ☒
- Applicant claims small entity status.

**FEE CALCULATION****1. FILING FEE****Large Entity**

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	370.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims	39	- 20 = 19	x \$ 9.00 =	171.00
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Independent Claims	4	- 3 = 1	x \$ 42.00 =	42.00
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☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 583.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 583.00

**2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	-	=	x \$ 18.00 =	
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Indep.	-	=	x \$ 84.00 =	
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☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
		Request for Corrected Filing Receipt	25.00
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 90.00

SUBTOTAL (1) 583.00

SUBTOTAL (2)

SUBTOTAL (3) 90.00

TOTAL (\$) 673.00

**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,

Date: 6/5/02  
 Reg. No.: 36,989  
 Tel. No.: (617) 248-7013  
 Fax No.: (617) 248-7100

Thomas C. Meyers  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110